Diluted magnetic semiconductors with narrow band gaps

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We propose a method to realize diluted magnetic semiconductors (DMSs) with p- and n-type carriers by choosing host semiconductors with a narrow band gap. By employing a combination of the density functional theory and quantum Monte Carlo simulation, we demonstrate such DMSs using Mn-doped BaZn2As2, which has a band gap of 0.2 eV. In addition, we found a nontoxic DMS Mn-doped BaZn2Sb2, of which the Curie temperature $T_c$ is predicted to be higher than that of Mn-doped BaZn2As2, the $T_c$ of which was up to 230 K in a recent experiment.

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I. INTRODUCTION

After the discovery of ferromagnetism in (Ga,Mn)As, diluted magnetic semiconductors (DMSs) have received considerable attention owing to potential applications based on the use of both their charge and spin degrees of freedom in electronic devices [1,2]. Thus far, the highest Curie temperature of (Ga,Mn)As has been $T_c = 190$ K [3]. The substitution of divalent Mn atoms into trivalent Ga sites introduces hole carriers; thus, (Ga,Mn)As is a $p$-type DMS. The valence mismatch between Mn and Ga leads to severely limited chemical solubility for Mn in GaAs. Moreover, owing to simultaneous doping of charge and spin induced by Mn substitution, it is difficult to individually optimize charge and spin densities.

To overcome these difficulties, a new type of DMS, i.e., Li(Zn,Mn)As, was proposed [4] and later fabricated with $T_c = 50$ K [5]. It is based on LiZnAs, a I-II-V semiconductor. Spin is introduced by isovalent (Zn$^{2+}$, Mn$^{2+}$) substitution, which is decoupled from carrier doping with excess deficient Li concentration. Although Li(Zn,Mn)As was proposed as a promising $n$-type DMS with excess Li$^+$, $p$-type carriers were obtained in the experiment with excess Li. The introduction of holes was presumably because of the excess Li$^+$ in substitutional Zn$^{2+}$ sites [5]. Later, another I-II-V DMS, i.e., Li(Zn,Mn)P, was reported in an experiment with $T_c = 34$ K [6]. Li(Zn,Mn)P with excess Li was determined to be of the $p$ type as well in the experiment. According to first-principles calculations, the reason for this is the same as that for Li(Zn,Mn)As [6]. Although such $p$-type I-II-V DMSs have a few distinct advantages over (Ga,Mn)As, the achievable $T_c$ is much lower than that of (Ga,Mn)As.

Another type of DMS, (Ba,K)(Zn,Mn)$_2$As$_2$, was observed in experiments with $T_c$ up to 230 K [7,8], which is higher than that for (Ga,Mn)As. Based on the semiconductor BaZn$_2$As$_2$, holes were doped by (Ba$^{2+}$, K$^+$) substitutions, and spins by isovalent (Zn$^{2+}$, Mn$^{2+}$) substitutions. It was a $p$-type DMS. Motivated by the high $T_c$, density functional theory (DFT) calculations [9] and photoemission spectroscopy experiments [10,11] were conducted to understand the microscopic mechanism of ferromagnetism of $p$-type DMS (Ba,K)(Zn,Mn)$_2$As$_2$.

By contrast, an $n$-type DMS, i.e., Ba(Zn,Mn,Co)$_2$As$_2$, was recently reported in an experiment with $T_c \sim 80$ K [12]. In this material, electrons are doped because of the substitution of Zn with Co, and spins are generated mainly because of (Zn$^{2+}$, Mn$^{2+}$) substitutions.

In Mn-doped BaZn$_2$As$_2$, why is the ferromagnetic (FM) coupling observed in both $p$- and $n$-type cases? Why is $T_c$ much lower in the $n$-type case than that in the $p$-type case? In general, can $p$- and $n$-type DMSs be realized? The answers will be helpful for fabricating spin $p$-$n$ junctions in the future. In this study, we attempt to address such issues. In previous studies on DMS materials with wide band gap $\Delta_g$, we found that the position of the impurity bound state (IBS) $\omega_{\text{IBS}}$ was close to the top of the valence band (VB) owing to the strong mixing between the impurity and the VB, and usually no IBS appeared below the bottom of the conduction band (CB) because of weak mixing between the impurity and the CB [13–15]. Thus, we have $0 \lesssim \omega_{\text{IBS}} \ll \Delta_g$, as shown in Fig. 1(a).

The magnetic correlation $\langle M^z_1 M^z_2 \rangle$ between two impurities with FM coupling (positive $\langle M^z_1 M^z_2 \rangle$) can be determined when the chemical potential $\mu$ is tuned to be close to the IBS: $\mu \sim \omega_{\text{IBS}}$ [16–18]. Therefore, for $p$-type carriers ($\mu \sim 0$), FM coupling can be obtained as $\mu \sim \omega_{\text{IBS}}$, and for $n$-type carriers ($\mu \sim \Delta_g$), no magnetic coupling is obtained between impurities because $\mu \gg \omega_{\text{IBS}}$. A schematic diagram describing $p$-type DMS materials with a wide band gap, including (Zn,Mn)O [13], (Ga,Mn)As [14], and Mg(O,N) [15], is shown in Fig. 1(b).

Here, we propose a method for realizing $p$- and $n$-type DMSs. The key is choosing host semiconductors with a narrow band gap $\Delta_g$. By selecting suitable host semiconductors and impurities, the condition $0 \lesssim \omega_{\text{IBS}} \lesssim \Delta_g$ is satisfied, as shown in Fig. 1(c). We show that for both the $p$-type ($\mu \sim 0$) and the $n$-type ($\mu \sim \Delta_g$) cases, the condition for developing FM coupling, that is $\mu \sim \omega_{\text{IBS}}$, can be fulfilled, as shown in Fig. 1(d).

II. DFT+QMC METHOD

In the following, we realistically calculate the electronic and magnetic properties of the Mn-doped BaZn$_2$As$_2$ DMS, which has a narrow band gap $\Delta_g (=0.2$ eV) [7]. We use a combination of the DFT [19,20] and the Hirsch-Fye quantum Monte Carlo (QMC) simulation [21]. Our combined DFT+QMC method...
First, the Haldane-Anderson impurity model [25] is formulated at finite temperatures are calculated using the Hirsch-Fye QMC band structure and impurity-host mixing. Second, magnetic within the local density approximation for determining the host (c) Similar to case (a), except for a narrow IBS appears below the bottom of the CB because of weak mixing between the impurity and the CB [13–15]. We have 0 \lesssim \omega_{\text{IBS}} \ll \Delta_g. (d) Similar to case (b), except for a narrow coupling is obtained between impurities because 0 \gg \omega_{\text{IBS}}. FM coupling can be achieved for both p-type and n-carriers when \mu \sim \omega_{\text{IBS}}. In this study, we describe cases (c) and (d).

can be used for an in-depth treatment of the band structures of materials and strong electron correlations of magnetic impurities on an equal footing; thus, it can be applied for designing functional semiconductor [13–15] and metal-based [22–24] materials. The method involves two calculations steps. First, the Haldane-Anderson impurity model [25] is formulated within the local density approximation for determining the host band structure and impurity-host mixing. Second, magnetic correlations of the Haldane-Anderson impurity model at finite temperatures are calculated using the Hirsch-Fye QMC technique [21].

The Haldane-Anderson impurity model is defined as follows:

\[
H = \sum_{k,\alpha,\sigma} \left[ \epsilon_{\alpha}(k) - \mu \right] c_{k\alpha\sigma}^\dagger c_{k\alpha\sigma} + \sum_{\xi,\xi',\sigma}(V_{\xi\xi'} d_{\xi\sigma}^\dagger c_{\xi\sigma} + \text{H.c.}) + (\epsilon_d - \mu) \sum_{\xi,\xi',\sigma} d_{\xi\sigma}^\dagger d_{\xi'\sigma} + U \sum_{\xi,\sigma} n_{\xi\uparrow} n_{\xi\downarrow},
\]

where \( e_{\alpha}(k) \) is the creation (annihilation) operator for a host electron with wave vector \( k \) and spin \( \sigma \) in the VB (\( \alpha = v \)) or the CB (\( \alpha = c \)), and \( d_{\xi\sigma}^\dagger \) (\( d_{\xi\sigma} \)) is the creation (annihilation) operator for a localized electron at impurity site \( \xi \) in orbital \( \xi \) and spin \( \sigma \) with \( n_{\xi\sigma} = d_{\xi\sigma}^\dagger d_{\xi\sigma} \). Here, \( e_{\alpha}(k) \) is the host band dispersion, \( \mu \) is the chemical potential, \( V_{\xi\xi'} \) denotes mixing between the impurity and the host, \( \epsilon_d \) is the impurity 3d orbital energy, and \( U \) is the on-site Coulomb repulsion of the impurity. Considering the condition of Hund coupling \( J_H \ll U \), \( J_H \) is neglected and the single-orbital approximation is used to describe the magnetic states of impurities.

III. RESULTS FOR Ba(Zn, Mn)₂As₂

The parameters \( \epsilon_{\alpha}(k) \) and \( V_{\xi\xi'} \) are obtained by DFT calculations using the WIEN2k package [26]. To reproduce the experimental narrow band gap of 0.2 eV in BaZn₂As₂ [7], we use the modified Becke-Johnson exchange potential (mBJ) [27], which has been implemented in the WIEN2k package. The obtained energy band \( \epsilon_{\alpha}(k) \) is shown in Fig. 2(a), where BaZn₂As₂ has space group I4/mmm. We obtained an indirect gap \( \Delta_g = 0.2 \) eV, which is in good agreement with the experimental [7] and previous calculated [10,28] values.

The mixing parameter between the \( \xi \) orbitals of an Mn impurity and the BaZn₂As₂ host is defined as

\[
\langle \phi_{\xi,\alpha}(i) | H | \psi_{\alpha}(n) \rangle = \frac{1}{\sqrt{N}} \sum_{\alpha, n} e^{i k \cdot (n-i)} a_{\alpha \sigma}(k) \langle \phi_{\xi}(i) | H | \psi_{\alpha}(n) \rangle,
\]

where \( \phi_{\xi}(i) \) is the impurity 3d state at site \( i \), and \( \Psi_{\alpha}(k) \) is the host state with wave vector \( k \) and band index \( \alpha \), which is expanded by atomic orbitals \( \phi_{\alpha}(n) \) having orbital index \( o \) and site index \( n \). Here, \( N \) is the total number of host lattice sites and \( a_{\alpha \sigma}(k) \) is an expansion coefficient. To obtain the mixing integrals of \( \langle \phi_{\xi}(i) | H | \psi_{\alpha}(n) \rangle \), we consider a supercell

![](image-url)
The orbitals $x^2$, $y^2$, and $z^2$ of Mn substitutional impurities at the Zn site degenerate owing to the crystal field of BaZn$_2$As$_2$, which has a group space of 14/mmm [7]. Sharp increases in $n_{\xi}$ are observed around $-0.5$, $-0.4$, $-0.2$, and $0.0$ eV for the orbitals $\xi = z^2$, $x^2$, $x^2 - y^2$, and $x^2(yz)$, respectively. This implies the existence of an IBS at this energy $\omega_{\text{IBS}}$ [13–18]. In order to make the IBS clearer, we show the partial density of state of an Mn impurity, $d(n_{\xi})/d\mu$, in Fig. 3(b). The peaks in $d(n_{\xi})/d\mu$ correspond to the positions of IBS. Figure 3(c) shows the magnetic correlation $\langle M^z_{\xi} M^z_{\eta} \rangle$ between the $\xi$ orbitals of two Mn impurities with fixed distance $R_{12}$ of the first-nearest neighbor. The operator $M^z_{\xi}$ of the $\xi$ orbital at impurity site $i$ is defined as follows:

$$M^z_{\xi} = n_{\xi \uparrow} - n_{\xi \downarrow}.$$ (4)

For each $\xi$ orbital, FM coupling is obtained when the chemical potential $\mu$ is close to the IBS position, and FM correlations become weaker and eventually disappear when $\mu$ moves away from the IBS. This role of the IBS in determining the strength of FM correlations between impurities is consistent with the Hartree-Fock and QMC results of various DMS systems [13–18].

For Mn-doped BaZn$_2$As$_2$ with $p$-type carriers, a recent angle-resolved photoemission spectroscopy (ARPES) experiment showed that the Fermi level ($\mu$) is below the top of the VB by several tenths of an eV and a nondispersive Mn 3$d$ impurity moves away from the IBS position, and FM correlations become weaker and eventually disappear when $\mu$ moves away from the IBS. This role of the IBS in determining the strength of FM correlations between impurities is consistent with the Hartree-Fock and QMC results of various DMS systems [13–18].

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\[ \langle M_z \xi_{Mz}(r) \rangle \]

FIG. 5. For Mn-doped BaZn\(_2\)As\(_2\), the distance \( r \) dependence of magnetic correlation \( \langle M_z \xi_{Mz}(r) \rangle \) between the \( \xi \) orbitals of Mn impurity at site origin and the host electron at site \( r \) for the (a) \( p \)-type case with chemical potential \( \mu = -0.3 \) eV and (b) \( n \)-type case with \( \mu = 0.15 \) eV, where temperature is 360 K.

\[ \langle n_{\xi} \rangle = xz, yz \]

\[ d(\langle n_{\xi} \rangle / d\mu) \]

FIG. 6. Similar to Fig. 2, with the exception that BaZn\(_2\)As\(_2\) is replaced by BaZn\(_2\)Sb\(_2\), which has a different space group \( Pnma \). A direct band gap of 0.2 eV is obtained by DFT calculations, which is in good agreement with the experimental value [29].

\[ <M^2_{\xi_1 M^2_{\xi_2}}(r_{12})> \]

FIG. 7. Similar to Fig. 3, except BaZn\(_2\)As\(_2\) is replaced by BaZn\(_2\)Sb\(_2\).
shows that the magnitude of FM coupling $\langle M_z^{\xi_1} M_z^{\xi_2} \rangle$ in the $n$-type case is smaller than that in the $p$-type case, which can qualitatively explain why the $T_c$ in the $n$-type case [12] is lower than that in the $p$-type case [7,8] in the experiments.

To understand the long-range FM correlation function $\langle M_z^{\xi_1} M_z^{\xi_2} \rangle$ between two Mn impurities in the BaZn$_2$As$_2$ host, we have calculated the impurity-host magnetic correlation function $\langle m^z_{\xi}(r) \rangle$. Here, $r$ is the site of the host electron and the impurity Mn is located at site $r = 0$. The magnetization $m^z_{\xi}(r)$ of the host electron at site $r$ is defined as

$$m^z_{\xi}(r) = \sum_{\alpha} (n_{\alpha r \uparrow} - n_{\alpha r \downarrow}), \quad (5)$$

where $n_{\alpha r \sigma} = c_{\alpha r \sigma}^\dagger c_{\alpha r \sigma}$ is the number operator for host electrons with band index $\alpha$ and site $r$ and spin $\sigma$. In Fig. 5(a), for $p$-type carriers with $\mu = -0.3$ eV, the long-range antiferromagnetic (AFM) correlation is obtained between the orbitals $\xi = x^2 - y^2$ and $z^2$ of Mn impurity and host electrons. In Fig. 5(b), for $n$-type carriers with $\mu = 0.15$ eV, the long-range AFM correlation is obtained between the orbitals $\xi = xz$ and $yz$ of Mn impurity and host electrons. Thus, the long-range FM coupling between impurities is mediated by the polarization of host electron spin. Such carrier-mediated FM is already discussed in previous DMS materials with a wide band gap, such as (Zn,Mn)O [13], (Ga,Mn)As [14], and Mg(O,N) [15].

IV. RESULTS FOR Ba(Zn,Mn)$_2$Sb$_2$

We made similar calculations for Mn-doped BaZn$_2$Sb$_2$, where a distinct advantage was the replacement of As with nontoxic Sb. BaZn$_2$Sb$_2$, too, has a narrow band gap $\Delta_2 = 0.2$ eV, but a different space group $Pnma$ [29]. A direct band gap
of 0.2 eV was obtained by the DFT calculation, as shown in Fig. 6(a), which agrees well with the experimental value.

Figure 7(a) shows the occupation number \( \langle n_\xi \rangle \) of the \( \xi \) orbital of the Mn impurity in BaZn\(_2\)Sb\(_2\) versus chemical potential \( \mu \) at temperature 360 K. The 3\( d \) orbitals of Mn did not degenerate owing to the low symmetry of the crystal field of BaZn\(_2\)Sb\(_2\). Sharp increases in \( \langle n_\xi \rangle \), which imply the position of IBS, were observed around \(-0.6 \) eV for the \( xy \), \( x^2-y^2 \), and \( z^2 \) orbitals, and \(-0.2 \) eV for the \( xz \) orbital. The IBS can be seen more clearly in the partial density of state of an Mn impurity, \( d(n_\xi)/d\mu \), in Fig. 7(b). The peaks in \( d(n_\xi)/d\mu \) correspond to the positions of the IBS. Figure 7(c) shows the magnetic correlation \( \langle M_{12}^z \rangle \) between the \( \xi \) orbitals of two Mn impurities for the \( \xi \) orbital, \( \xi M_{1z} \), \( \xi M_{2z} \), and those neighbors in Fig. 8, respectively. We predict that the position of IBS and those neighbors in Fig. 8, respectively. We predict that the position of IBS is far from the first-nearest neighbor. The role of the IBS in determining the strength of the FM correlations between impurities is the same as that discussed for Mn-doped BaZn\(_2\)As\(_2\) in Fig. 3.

For Mn-doped BaZn\(_2\)Sb\(_2\) with \( p \)-type carriers, we take \( \mu = -0.3 \) eV, the same value as that used for Mn-doped BaZn\(_2\)As\(_2\) with \( p \)-type carriers. Figure 8 shows the distance \( R_{12} \) dependence of the magnetic correlation \( \langle M_{12}^z \rangle \) between the \( \xi \) orbitals of two Mn impurities for the \( p \)-type case. Long-range FM coupling up to approximately 10\( \) Å (the 14th-nearest neighbor) was obtained for the \( \xi = xz \), \( yz \), \( x^2-y^2 \), and \( z^2 \) orbitals, while relatively short-range FM coupling is obtained for the \( xy \) orbital. This is considerably longer than 6\( \) Å (the third-nearest neighbor) obtained for Mn-doped BaZn\(_2\)As\(_2\) with \( p \)-type carriers, as shown in Fig. 4(a). Such long-range FM coupling arises from the short distance between the neighboring Zn sites in BaZn\(_2\)Sb\(_2\), as is clear from comparison of the first-, second-, and third-nearest neighbors in Fig. 4(a) and those neighbors in Fig. 8, respectively. We predict that the \( T_c \) of Mn-doped BaZn\(_2\)Sb\(_2\) with \( p \)-type carriers should be higher than that of Mn-doped BaZn\(_2\)As\(_2\) with \( p \)-type carriers, in which \( T_c = 230 \) K was reported in a recent experiment [8].

For Mn-doped BaZn\(_2\)Sb\(_2\) with \( n \)-type carriers, we take \( \mu = 0.15 \) eV, the same value as that used for Mn-doped BaZn\(_2\)As\(_2\) with \( n \)-type carriers. No FM coupling is obtained with \( \mu = 0.15 \) eV. This is because \( \mu = 0.15 \) eV is far from the IBS position \( \omega_{\text{IBS}} \approx -0.2 \) eV of the \( xz \) orbital, as shown in Figs. 7(a) and 7(b). It is consistent with previous studies that no magnetic coupling is obtained between impurities when \( \mu \gg \omega_{\text{IBS}} \) [13–15].

V. DISCUSSION OF UNCERTAINTY OF MODEL PARAMETERS

In the above QMC calculations, we fix the model parameters of impurity level \( \epsilon_d = -1.5 \) eV and Coulomb repulsion \( U = 4 \) eV, which are reasonable values for Mn-doped BaZn\(_2\)As\(_2\)
and BaZn$_2$Sb$_2$, as discussed in Sec. III. In this section, we will discuss how the uncertainty of these values affects the outcome of the calculations.

For Mn-doped BaZn$_2$As$_2$ with the same impurity level parameter $\epsilon_d = -1.5$ eV and a larger Coulomb repulsion parameter $U = 5$ eV, the occupation number $\langle n_\xi \rangle$, the partial density of state $d(\langle n_\xi \rangle)/d\mu$ of the $\xi$ orbital of an Mn impurity, and the magnetic correlation $\langle M_{1z}^2 M_{2z}^2 \rangle$ between the $\xi$ orbitals of two Mn impurities with fixed distance of the first-nearest neighbor are shown in Figs. 9(a)–9(c), respectively. Compared with the results obtained with parameters $\epsilon_d = -1.5$ eV and $U = 4$ eV in Fig. 3, no essential difference is observed.

For Mn-doped BaZn$_2$As$_2$ with a deeper impurity level parameter $\epsilon_d = -2$ eV and the same Coulomb repulsion parameter $U = 4$ eV, $\langle n_\xi \rangle$, $d(\langle n_\xi \rangle)/d\mu$, and $\langle M_{1z}^2 M_{2z}^2 \rangle$ are shown in Figs. 10(a)–10(c), respectively. Compared with the results in Fig. 3, the IBS positions $\omega_{IBS}$ of $\xi$ orbitals of Mn impurity shift down by about 0.1 eV. The FM correlation $\langle M_{1z}^2 M_{2z}^2 \rangle$ is obtained for $p$-type carriers with $\mu = -0.3$ eV, while no FM correlation $\langle M_{1z}^2 M_{2z}^2 \rangle$ is obtained for $n$-type carriers with $\mu = 0.15$ eV. This result does not agree with the recent experiment of Mn-doped BaZn$_2$As$_2$ with $n$-type carriers, where FM coupling is observed below $T_c = 80$ K [12]. Thus, the impurity level parameter $\epsilon_d = -2$ eV may be too deep for Mn-doped BaZn$_2$As$_2$, as also discussed in Sec. III.

For Mn-doped BaZn$_2$Sb$_2$ with the same impurity level parameter $\epsilon_d = -1.5$ eV and a larger Coulomb repulsion parameter $U = 5$ eV, $\langle n_\xi \rangle$, $d(\langle n_\xi \rangle)/d\mu$, and $\langle M_{1z}^2 M_{2z}^2 \rangle$ are shown in Figs. 11(a)–11(c), respectively. Compared with the results obtained with parameters $\epsilon_d = -1.5$ eV and $U = 4$ eV in Fig. 7, no essential difference is observed.

For Mn-doped BaZn$_2$Sb$_2$ with a deeper impurity level parameter $\epsilon_d = -2.0$ eV and the same Coulomb repulsion parameter $U = 4$ eV, $\langle n_\xi \rangle$, $d(\langle n_\xi \rangle)/d\mu$, and $\langle M_{1z}^2 M_{2z}^2 \rangle$ are shown in Figs. 12(a)–12(c), respectively. Compared with the results in Fig. 7, the IBS positions $\omega_{IBS}$ of $\xi$ orbitals of Mn impurity shift down by about 0.1 eV. The FM correlation $\langle M_{1z}^2 M_{2z}^2 \rangle$ is obtained for $p$-type carriers with $\mu = -0.3$ eV, and no FM correlation $\langle M_{1z}^2 M_{2z}^2 \rangle$ is obtained for $n$-type carriers with $\mu = 0.15$ eV. The conclusion is unchanged.

VI. CONCLUSIONS

In summary, we have proposed a method to realize DMS with $p$- and $n$-type carriers by choosing host semiconductors with a narrow band gap. Using the combined method of DFT and QMC, we describe DMS Mn-doped BaZn$_2$As$_2$, which has a narrow band gap of 0.2 eV. In addition, we find a nontoxic DMS Mn-doped BaZn$_2$Sb$_2$, whose $T_c$ is expected to be higher than that of Mn-doped BaZn$_2$As$_2$, for which $T_c = 230$ K, as reported in a recent experiment.

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